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Seasonal predictability of daily rainfall statistics over Indramayu district, Indonesia

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Abstract

The seasonal predictability of rainfall over a small rice-growing district of Java, Indonesia is investigated in terms of its daily characteristics during the September–December monsoon-onset season. The seasonal statistics considered include rainfall frequency, mean daily intensity, median length of dry spells, as well as the onset date of the rainy season. General circulation model retrospective seasonal forecasts initialized on August 1 are downscaled to a set of 17 station-locations using a non-homogeneous hidden Markov model. Large ensembles of stochastic daily rainfall sequences are generated at each station, from which the seasonal statistics are calculated and compared against observations using deterministic and probabilistic skill metrics. The retrospective forecasts are shown to exhibit moderate skill in terms of rainfall frequency, seasonal rainfall total, and especially monsoon onset date. Some skill is also found for median dry-spell length, while mean wet-day persistence and daily rainfall intensity are not found to be predictable.

1. Introduction

Seasonal climate forecasts are typically issued in terms of three-month averages of rainfall or temperature, as a compromise between maximizing the ratio of predictable climate signal to unpredictable weather noise, while still capturing seasonal evolution (e.g. Goddard et al., 2001). However, such seasonally-averaged forecasts are often of limited use to decision makers, where risk management in agriculture, for example, may require information on aspects such as the onset of the rainy season, or the probability of rainfall occurrence, long dry spells, or rainfall extremes within the growing season. In addition, the skillful spatial scale of current general circulation modal (GCM) seasonal predictions is of the order of several hundred kilometers (Gong et al., 2003), again much larger than may be required for effective climate risk management at the scale of a small administrative district. Downscaling is required, within the physical constraints of the regional climate system, and the limitations of available downscaling methodologies.

Recent work suggests that in the tropics, rainfall frequency at the station scale is more seasonally predictable than the seasonal total of rainfall; this primarily due to the relatively higher spatial coherence of interannual anomalies of rainfall frequency compared to those of mean daily rainfall intensity (Moron et al., 2006, 2007).

Probabilistic models of “weather within climate” with daily resolution based on stochastic weather generators, hidden Markov models, and K-nearest neighbors approaches have been used to express GCM-based seasonal forecasts in terms of ensembles of stochastic local daily weather sequences that can then, in principle, be used to drive models of crop growth and yield (Hansen and Ines 2005, Ines and Hansen and 2006,

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3 Robertson et al. 2004, 2006, 2007). The non-homogeneous hidden Markov model
4 (NHMM) has proved to be a promising method for constructing multi-station weather
5 generators (Hughes and Guttorp, 1994). Over northeast Brazil, Robertson et al. (2004)
6 found that interannual variability in the frequency-of-occurrence of 10-day dry spells
7 could be simulated reasonably, using an NHMM with GCM seasonal-mean large-scale
8 precipitation as a predictor. Similar downscaling results were obtained over
9 Queensland, Australia (Robertson et al. 2006). The NHMM has been applied to two
10 other locations in Australia in downscaling studies (Charles et al., 2003, 2004).
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23 In this paper, retrospective GCM seasonal precipitation forecasts are downscaled to a
24 set rainfall stations over Indramayu, a small (2140 km²) flat coastal district of West Java,
25 using an NHMM and their skill assessed under cross-validation. We focus on a set of
26 weather statistics of potential relevance to agriculture, namely daily rainfall frequency,
27 mean daily intensity on wet days, mean dry-spell lengths, wet-day persistence, and the
28 monsoon onset date, in addition to the seasonal rainfall total. Deterministic and
29 probabilistic measures of skill are quantified.
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41 Rainfall over Indonesia is governed by the austral-Asian (northwest) monsoon, whose
42 onset progresses from northwest-to-southeast during the austral spring (Aldrian and
43 Susanto, 2003). Many studies have shown that the El Niño - Southern Oscillation
44 (ENSO) exerts its strongest influence on Indonesian rainfall, particularly during the
45 September–December monsoon onset season (e.g., Hamada et al., 2002). The impact
46 of ENSO then diminishes during the core of the rainy season in December–February
47 (Haylock and McBride, 2001; Aldrian et al., 2005, 2007; Giannini et al., 2007),
48 suggesting that the timing of monsoon onset may be potentially predictable. Moron et al.
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3 (2008) have recently argued that much of the seasonal predictability in the September–
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December total rainfall is associated with changes in monsoon onset date.

Indramayu, situated on the north coast of West Java, is an important rice-growing district contributing about one-quarter of Java's rice production. Farmers experience droughts and floods that cause significant losses in rice production. The date of onset of the rainy season is of particular importance, determining the suitable time for planting crops, while delayed onset during El Niño years (Hamada et al., 2002; Naylor et al., 2002; Boer and Wahab, 2007) can lead to crop failure. “False rains,” in which isolated rainfall events occur around the expected onset date also present problems for farmers.

This paper is motivated by the needs of the Indonesian Bureau of Meteorology and Geophysics (BMG), which has been working with the agricultural office to develop climate forecasts that are specific to agriculture over Indramayu. The September–December season is selected for its importance to agriculture as well as its relatively high seasonal predictability of rainfall. The paper is organized as follows. Section 2 describes the rainfall data and GCM simulations, section 3 describes the hidden Markov model and statistical methods. The results are presented in section 4, with conclusions given in section 5.

2. Data

a) Observed rainfall data

Daily rainfall observations recorded at 17 station locations over Indramayu during the period 1979–2002, for the September–December (SOND) season were used in this study; these data were provided by BMG. Missing values (< 6% of station-days) were simply flagged for the NHMM. For the purposes of computing observed rainfall statistics against which to validate the forecasts, the missing values were filled using a simple stochastic weather generator (Wilks, 1999), considering the wet-to-wet and dry-to-wet day persistence and a gamma distribution for rainfall amounts on wet days. All parameters were computed separately for each station and calendar month; if a month is completely missing, this method simulates a climatological daily sequence for that month. The average number of wet days (defined here as receiving 0.1mm or more of rain) is 20–30 days, with mean intensities (i.e. the mean amount of rainfall on wet days) of about 2–4 mm/day. Their spatial distributions are rather uniform, as shown in Fig. 1.

An agronomical definition of monsoon onset (e.g., Sivakumar, 1988) is adopted based on local rainfall amounts. Onset is defined as the first wet day of the first 5-day sequence receiving at least 40 mm that is not followed by a dry 15-day sequence receiving less than 5 mm within the following 30 days from the onset date. The latter criterion helps to avoid false starts. Onset is computed from the 1st September. Changing the length and/or the amount of rainfall of the initial wet spell modifies the climatological mean onset date, but the impact on its interannual variability is found to be minimal.

b) Seasonal climate forecast model

A set of retrospective seasonal forecasts from the ECHAM4.5 atmospheric GCM driven with constructed-analog predictions of sea surface temperature (SST) were initialized on August 1 of each year 1979–2002 (Li and Goddard, 2005). In this “two-tier” system, SST is predicted on a monthly basis from the previous month (here July) using the constructed analog approach (van den Dool, 1994). The ECHAM4.5 atmospheric GCM is then run at T42 horizontal resolution (approx. 2.8 degree grid) using the SST predictions at the lower ocean boundary, with the 24 ensemble members initialized from slightly differing initial conditions taken from long simulations with observed SSTs prescribed. There is no initialization of the atmosphere (or land surface conditions) through data assimilation. These retrospective forecasts were made at IRI and obtained through the IRI Data Library.

3. Methods

a) Non-homogeneous Hidden Markov model (NHMM)

The NHMM used here follows the approach of Hughes and Guttorp (1994) to model daily rainfall occurrence, while additionally modeling rainfall amounts; it is fully described in Robertson et al. (2004, 2006). In brief, the time sequence of daily rainfall measurements on a network of stations is assumed to be generated by a first-order Markov chain of a few discrete hidden (i.e. unobserved) rainfall “states.” For each state, the daily rainfall amount at each station is modeled as a finite mixture of components, consisting of a delta function at zero amount to model dry days, and a combination of

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3 two exponentials to describe rainfall amounts on days with non-zero rainfall. The state-
4 transition matrix is treated as a (logistic) function of a multivariate predictor input time
5 series obtained from the GCM retrospective forecasts. Missing data is treated explicitly,
6 with parameter estimates derived from the days that are present (Kirshner, 2005).
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12 13 14 **b) Downscaling experimental design and cross-validation**

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17 The GCM retrospective forecasts are downscaled using the NHMM to obtain a large
18 ensemble of stochastic daily rainfall sequences at each of the 17 stations, for the
19 September 1 – December 31 period, 1979–2002. Monthly GCM precipitation fields were
20 obtained for the months August–January over a regional window (80E–180E, 20S–15N)
21 and standardized at each gridpoint by subtracting the mean and dividing by the
22 standard deviation. The resulting anomalies were then weighted spatially using a
23 Gaussian ($\sigma_x=60^\circ$, $\sigma_y=15^\circ$) to emphasize gridpoints over Indonesia, and then
24 interpolated linearly to daily values, selecting the September 1 – December 31 period.
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37 The NHMM was trained using the 24-member GCM ensemble mean precipitation under
38 8-fold cross-validation, omitting 3 consecutive years at a time. A principal components
39 analysis (PCA) of the daily-interpolated GCM ensemble-mean precipitation fields was
40 used to define the inputs to the NHMM, retaining the leading 3 PCs (92.4% variance).
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42 The correlations of the (seasonal averaged) PCs with the (seasonal and station
43 averaged) station rainfall are 0.59, –0.49, and 0.66 respectively, while the respective
44 correlations with the Nino3.4 index are –0.79, 0.69, and –0.85. For each fold of the
45 cross-validation, the PCs were recomputed on the training subset of 21 years.
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3 To make the rainfall simulations, we proceed as follows for each of the 8 folds of the
4 cross-validation. For each of the 24 ensemble members, the (linearly interpolated) daily
5 GCM precipitation fields for the 3 left-out years were projected onto the leading 3 EOFs
6 computed from the respective 21-year training period. The resulting 24 timeseries (one
7 per GCM ensemble member) were then used in conjunction with the NHMM trained on
8 the 21-year training period to make 3 NHMM simulations, yielding a total of 72
9 simulated daily rainfall sequences for each SOND season. Note that the individual GCM
10 ensemble members were used for simulation, rather than the GCM's ensemble mean,
11 in order to retain the distribution within the GCM ensemble. However, skill levels were
12 found to decrease if the individual ensemble members were used in the NHMM training
13 step, in place of the ensemble mean.
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30 **4. Results**

31 **a) NHMM training**

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38 The choice of the appropriate number of hidden states k in the NHMM was guided by
39 computing the log-likelihood of models with different choices of k under cross-validation
40 (Fig. 2). As is typical, the out-of-sample log-likelihood increases sharply with k initially,
41 and then levels off, with diminishing returns for high values. We chose $k=4$; the
42 downscaling results were checked for $k=3-6$ and found be very similar. In all cases the
43 NHMM was initialized 30 times from random seeds, selecting the solution with the
44 highest (in-sample) log-likelihood. Note that the log-likelihood is negative because the
45 likelihood—which is the probability of the observed rainfall data given the model—is less
46 than unity; the model fit is not perfect even for large k because (a) the NHMM is a
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3 simple representation of the rainfall process and its relationship with large-scale GCM
4 monthly precipitation, (b) the GCM forecasts contain errors, and (c) the parameters
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6 estimated in the NHMM training are maximum likelihood estimates.
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10 11 **b) NHMM interpretation**

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15 Maps of rainfall properties associated with each of the states are plotted in Fig. 3, with
16 the estimated state sequence in time shown in Fig. 4. The four rainfall states describe
17 daily rainfall conditions ranging from dry (state 1) to wet (state 4), in terms of rainfall
18 probability at each station (Fig. 3a–d), and the rainfall distribution on wet days, with the
19 latter plotted here in terms of mean rainfall intensity (Fig. 3e–h), calculated from each
20 state's rainfall-distribution parameters. Rainfall probabilities are stratified rather
21 monotonically by the NHMM state, with much smaller differences between stations for a
22 given state. Mean rainfall intensities vary less abruptly, with larger inter-station
23 differences, especially for the dry state where there are few wet days over which to
24 estimate the rainfall distribution parameters.
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40 The temporal evolution of rainfall in the dataset can be described by estimating the
41 most-likely sequence of the four NHMM states. This is performed using the Viterbi
42 algorithm (Forney, 1978), which uses the NHMM parameters (estimated here for the
43 whole dataset without cross-validation) together with the rainfall data. Figure 4 provides
44 a graphic illustration of the rainfall variability at the district level, in terms of its
45 seasonality, sub-seasonal variability, as well as interannual variability. The driest state
46 predominates during September, with spells of the wetter states becoming more
47 prevalent in November–December. The stochastic nature of the model is clear, with a
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3 considerable variability of the sequences from year to year, and within each season.
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5 The monsoon onset was clearly substantially delayed during the El Niño events of 1982,
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7 1987, 1994 and 1997.
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10 11 **c) Forecast ensembles** 12

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14 The downscaling experiment performed in this study yields ensembles of retrospective
15 forecasts, consisting of stochastic daily sequences of rainfall at the 17 rainfall station
16 locations. In order to investigate the characteristics of these daily sequences, we focus
17 on six seasonal summary statistics: seasonal rainfall total, rainfall frequency (days \geq
18 0.1mm), the mean daily intensity on wet days, the average length of dry spells, the
19 mean wet-day persistence, and the monsoon onset date. The distribution of dry-spell
20 lengths is skewed to the right because of the seasonal transition from the dry to the wet
21 season, and the mean dry-spell length is biased by the dry season. We thus choose the
22 median dry-spell length that is more indicative of post-onset conditions, and then take
23 its natural logarithm to further reduce the skew of the distribution. Each summary
24 statistic is computed at each of the 17 station locations.
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41 To assess model performance at the Indramayu district level, we average each
42 summary statistic over the 17 stations using a standardized anomaly index (SAI; Katz
43 and Glantz, 1986). The SAI is computed by standardizing the interannual time series at
44 each station (subtracting the mean and dividing by the standard deviation) and then
45 averaging the standardized anomalies spatially across the stations to form an index; it
46 thus gives each station equal weight.
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d) Mean biases

Figure 5 shows the climatological (i.e. marginal) distributions of the SAI of each of the six rainfall statistics computed from the observations (panel a; 24 years) and simulations (panel b; 72 simulations x 24 years); note that the observed distribution is purely interannual, whereas the simulated distribution contains both interannual and intra-ensemble variability. In order to identify biases in the simulations, the SAI was computed using the station means and standard deviations computed from the observations in *both* panels. Table 1 gives the observed and simulation means in physical units, averaged simply across stations, together with the percentage biases in the mean and standard deviation. The standard deviation in Table 1 was computed at each station and ensemble member individually, and then averaged.

Mean biases for seasonal total, rainfall frequency and mean intensity are negligible (about 1% or less), and about 10% for median dry-spell length and wet-day. Onset dates are systematically too early by about one week on average. The inter-quartile ranges (IQR), given by the boxes in Fig. 5, are generally similar between the observed and simulated ensembles, while the tails of the simulated distributions are longer. The forecast distributions are generally less skewed than their observed counterparts, with the median more centrally located in the IQR.

The bias in the interannual standard deviation in the individual station simulations is given in Table 1, averaged across members and stations. It is very small for seasonal total, rainfall frequency and onset date (< 2%). Thus, the simulations generally do not suffer from insufficient interannual variability that is often encountered in simple

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3 stochastic weather generators (Katz and Parlange, 1998). However, the interannual
4 standard deviation is somewhat underestimated for mean intensity and wet-day
5 persistence (10–15%), and overestimated for median dry-spell length (19%).
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11 Ensemble forecasts can be expressed most simply in terms of the ensemble mean,
12 together with estimates of its uncertainty. Figure 6 shows quantile-quantile (Q-Q) plots
13 of the interannual distributions of observed vs. the forecast *ensemble mean*, again using
14 the SAI without any bias correction. The 45° straight line would be obtained,
15 approximately, if the two samples (forecast mean and observed data) came from the
16 same distribution. The forecast distributions of seasonal total and rainfall frequency are
17 quite accurate, while late onset-date forecasts tend to be too weak. The forecasts
18 distributions of mean intensity and wet-day persistence *ensemble mean* are both much
19 too narrow and thus severely lack forecast resolution.
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33 **e) Spatial coherence**

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37 Having assessed overall simulation biases, and before turning to measures of forecast
38 skill, we examine the spatial coherence of seasonal anomalies between stations. The
39 amplitude of the SAI for a particular year depends on the size of the correlations
40 between stations, and thus its variance gives a measure of spatial coherence of the field
41 (Moron et al., 2006). For relatively homogeneous regions such as Indramayu, the
42 spatial coherence provides a measure of potential predictability at the station scale
43 (Moron et al. 2006). The observed inter-quartile ranges of the SAI (Fig. 5a) are largest
44 for seasonal total, rainfall frequency, and monsoon onset date, while they are smallest
45 for rainfall intensity and dry-spell length, suggesting higher predictability of the former
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3 quantities compared to the latter ones. Values of the variance of the SAI (VSAI) and the
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5 estimated number of spatial degrees of freedom (DOF; Moron et al. 2006) are given in
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7 Table 2. As seen in previous studies of tropical rainfall (Moron et al. 2006, 2007), spatial
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9 coherence of interannual anomalies in the station data is largest (high VSAI and low
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11 DOF) for rainfall frequency, closely followed by seasonal total, with mean intensity being
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13 much less coherent. Of the other statistics, onset date also exhibits high coherence, as
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15 found recently over Indonesia in the study of Moron et al. (2008). The spatial coherence
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17 of the NHMM simulations generally follows the observed behavior, with a slight
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19 overestimation of the coherence for seasonal total and rainfall frequency. It is notable
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21 that the median dry-spell length is much more coherent in the simulations than in the
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23 observed rainfall data.
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29 30 **f) Ensemble mean skill**

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33 Prior to assessing the skill of the forecasts, a simple bias correction was applied at each
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35 station to remove the biases in the mean and standard deviation.
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39 Skill is firstly assessed in terms of the forecast ensemble mean. Figure 7 shows
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41 forecast reliability and resolution in terms of the SAI of the verification given the forecast
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43 $[E(\text{obs} | \text{fcst})]$, plotted against the forecast SAI. A bin-width of 0.2 was used to assign
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45 the forecasts to categories, for which the observed outcomes were averaged. Figure 7
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47 thus shows the success of the forecasts binned into categories and is plotted in the
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49 same format as the Q-Q plots in Fig. 6. In all panels the points lie fairly close to the
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51 diagonal, indicating reasonably reliable forecasts; i.e. the (bias corrected) forecasts for
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53 each bin indicated by a cross tend to be correct on average. On the other hand, there
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3 are large differences in forecast resolution between the six rainfall-statistics, consistent
4 with Q-Q plots in Fig. 6. Rainfall frequency and seasonal total exhibit the most
5 dispersion of the points along the diagonal, indicating that forecasts across the
6 observed range of amplitude are indeed issued. In contrast, the mean intensity and wet-
7 day persistence forecasts are clustered about the climatological mean indicating that
8 the forecasts have no resolution. The dry-spell length forecasts also show too little
9 forecast resolution. Forecast skill is a combination of the reliability and resolution of the
10 forecasts. Values of the Pearson anomaly correlation and p-value, given in each panel
11 of Fig. 7, are generally consistent with these graphs. Thus, the highest anomaly
12 correlation skills are achieved for rainfall frequency, followed by monsoon onset and
13 seasonal total. Median dry-spell length is intermediary, while the forecasts of mean
14 intensity and mean wet-day persistence are not significantly correlated with the
15 verifications.

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Figures 8 shows anomaly correlation skills at the individual stations. The stratification
between the different rainfall statistics is quite clear in these plots. Inter-station
differences may reflect data quality at each station, sampling issues, as well as physical
inhomogeneities—differences in skill across the small district of Indramayu do not
appear systematic, although skill values at inland stations appear to be generally slightly
lower.

g) Forecast spread

Risk management applications require estimates of forecast uncertainty, for which
information contained in the ensemble spread may be applicable (e.g. Palmer, 2002).

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Figure 9 shows the observed SAI time series for each rainfall statistic, together with box-plots depicting the forecast ensembles. The larger interannual variance of the SAI for seasonal total, rainfall frequency and onset-date is immediately apparent, indicative of the potential predictability in these three statistics. The skewness of the simulations of median dry-spell length is also apparent, which may account for the overestimation of its variance in the simulations (Table 2).

Provided an interannual signal is present in the observed SAI, a skillful forecast ensemble should bracket the observed value, such that the probability of the observation given the forecast is as large as possible (Murphy and Winkler, 1987). There is visible evidence that the forecasts of seasonal total, rainfall frequency and onset-date contain skill. Various forecast verification metrics have been developed to quantify the skill of probabilistic forecasts (e.g. Jolliffe and Stephenson, 2003). The continuous ranked probability score (CRPS; Hersbach, 2000), for example, is a squared error metric that measures the distance between the cumulative distribution function (CDF) of the forecast and the verifying observation; the latter "CDF" takes the form of a step function at the value of the observation. Expressed with respect to a baseline given by the CRPS of the climatological forecast distribution, the median CRPS scores (across years) of the six SAI quantities are -2.48 , 7.74 , -20.24 , -29.58 , -29.20 and 6.40% respectively. Negative values denote a forecast worse than climatology, with a perfect forecast given by $+100\%$. Only rainfall frequency and onset date yield skill better than climatology. The CRPS scores were also computed at individual stations. At the station level, the downscaled forecasts were only found to exhibit CRPS scores values better than climatology for onset date; these are plotted Fig. 10.

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3 To be well calibrated, the spread of the forecast distributions should be such that the
4 IQR “prediction interval” boxes in Fig. 9 bracket the observation in 50% of years; values
5 below indicate too little spread (boxes too narrow), and values above 50% imply too
6 much spread in the forecast distribution (boxes too wide). For the six SAI quantities in
7 Fig. 9, the percentage of observed years within the simulated IQR (i.e. the capture
8 rates) are 58, 62, 37, 71, 46 and 46% respectively. Thus in most cases the forecasts
9 are reasonably well calibrated; there is too little spread for rainfall intensity and too
10 much for dry-spell length.
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23 **h) Conditional exceedance probabilities**

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25 To visualize the reliability of the forecasts, the individual ensemble members can be
26 treated as estimates of quantiles of the forecast distribution (Mason et al., 2007). For
27 example, given only one ensemble member, there should be a 50% probability that the
28 observed value exceeds the forecast, regardless of value being forecast. Thus, a graph
29 of this “conditional exceedance probability” (CEP) against the forecast rainfall should be
30 a horizontal line with CEP=0.5. Figure 11 shows the CEP curves for each of the 72
31 ensemble members, calculated across all years using generalized linear regression
32 (Mason et al., 2007); they are ranked from driest to wettest, from the top to bottom in
33 each panel.
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49 The CEP curves for mean intensity, median dry spell length and wet-day persistence all
50 lie close to the climatological probability of exceedance (thin line), showing that these
51 forecasts do not deviate much from climatology; this is consistent with the large
52 negative CPRS scores for these rainfall statistics. On the other hand, the CEP curves
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3 for seasonal total, rainfall frequency and onset date slope less, extend over a larger
4 range of SAI and are more evenly spaced. There is still a general tendency for the
5 slopes to be negative, except for onset date, indicating that the forecasts tend to be
6 over confident. However, the distributions are noisy, indicating considerable sampling
7 variability associated with the short 24-year time series.
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16 **i) A real-time forecast**

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19 Figure 12 presents an example of a forecast distribution made for the 2007 SOND
20 season, expressed in terms of probability of exceedance. The figure shows cumulative
21 distribution functions (CDFs), smoothed using a kernel density estimator, for the
22 historical observed (solid) and 1979–02 retrospective forecast (dotted) climatological
23 distributions, and the 2007 forecast distribution (dashed).
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32 The observed and simulated climatological distributions are similar in all cases
33 indicating no serious biases in the retrospective forecasts over the 1979–02 period,
34 recalling that the bias in the mean and variance has been removed from each SAI
35 (Sect. 4f). The 2007 forecast exhibits a dry tendency, with lower probabilities of
36 exceeding a given threshold of seasonal amount, rainfall frequency, and wet-day
37 persistence, and higher probabilities of exceeding a given threshold of median dry-spell
38 length and onset date. The exceedance probabilities of the forecast for rainfall intensity
39 and wet-spell length also deviate from climatology, despite the lack of skill in these
40 quantities.
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5. Conclusions

a) Summary

We have demonstrated the methodology and evaluated the skill of downscaled rainfall forecasts over Indramayu district, West Java, during the September–December monsoon onset season, using a combined GCM-NHMM approach. The quality of the cross-validated retrospective forecasts was assessed for six rainfall summary statistics computed from 72-member daily-rainfall-sequence simulations: seasonal rainfall total, daily rainfall frequency, mean daily intensity, median length of dry spells, wet-day persistence, and monsoon onset date.

Mean biases of the rainfall simulations (Table 1; Fig. 5) are under 1% for seasonal total, rainfall frequency and mean intensity. The simulations overestimate the lengths of dry spells and underestimate the lengths of wet spell by about 8%, and simulated onset date is premature by about a week. Interannual standard deviations are accurate (within 2%) for seasonal total, rainfall frequency and onset date; they are underestimated for rainfall intensity (14%) and wet-day persistence (10%), and overestimated for median dry-spell length (19%).

Various measures of skill of the forecasts were considered. In terms of anomaly correlation of the ensemble mean, the standardized anomaly index (SAI) over the stations reaches 0.71 for rainfall frequency, 0.61 for onset date, 0.58 for seasonal total and 0.50 for median dry spell length. Neither rainfall intensity nor wet-day persistence exhibit skill. The ensemble mean forecasts exhibit encouraging reliability for all quantities (i.e. the expectation of the observations conditioned on the forecasts is

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3 accurate), but with good forecast resolution only for rainfall frequency, seasonal total
4 and onset date (Fig. 7). At the station level (Figs. 8), anomaly correlations are most
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6 consistently high for onset date.
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11 Regarding the forecast distributions, the spread of the distribution is generally
12 reasonable: somewhat too broad for seasonal total, rainfall frequency and (particularly)
13 dry-length, and too narrow for wet-spell length, onset date and (markedly) rainfall
14 intensity (Fig. 9). Probabilistic skill values using the continuous ranked probability skill
15 (CRPS) score show better-than-climatology values only for SAI of onset date and
16 rainfall frequency. At the station scale, only the monsoon onset date shows positive
17 CRPS scores against a climatological benchmark (Fig. 10). Conditional exceedance
18 probabilities of the individual ensemble members (CEPs; Fig. 11) indicate the highest
19 reliability for onset date, followed by rainfall frequency and seasonal total. However,
20 clear deficiencies are visible, with a general tendency toward overconfidence of the
21 ensembles (i.e. negative CEP slopes) in all quantities except onset date. The CEP
22 curves are noisy and the short length of the verification series (24 points) is a limiting
23 factor. An example probabilistic forecast was made for 2007, expressed in terms of
24 exceedance probabilities (Fig. 12).
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45 **b) Discussion**

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48 The goal of this paper has been to assess the suitability of the non-homogeneous
49 hidden Markov model (NHMM) as a downscaling technique to obtain daily rainfall
50 sequences conditioned on seasonal forecasts. Taken together, the set of forecast
51 metrics examined here provide an overall picture of forecast quality.
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3 Levels of skill can be differentiated according to the district-average versus individual
4 stations, and anomaly correlation versus the CRPS probabilistic score. In terms of the
5 anomaly correlation of ensemble-mean forecasts for district average (here SAI), results
6 are consistent with our previous studies of seasonal predictability of tropical rainfall
7 (Moron et al. 2006, 2007, 2008a,b; Robertson et al. 2006, 2007), with highest skill for
8 rainfall frequency and lowest for mean rainfall intensity. Onset date skill predictability is
9 consistent with the analysis of Moron et al. (2008c) who found seasonal predictability of
10 seasonal total to be largely associated with onset date over Indonesia. The anomaly
11 correlation skill seen at the district level is largely reproduced at the station level as well.
12 Most striking, however, is the lack of CRPS skill except for rainfall frequency and onset
13 date at the district level, and only onset date at the individual stations. The latter result
14 was found robust to details of how the CPRS score was estimated and is encouraging
15 for climate risk management applications where onset date is a critical factor in crop
16 planting.

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38 The cross-validated anomaly correlation skill for the SAI of seasonal total is comparable
39 to raw correlations between the station average rainfall and the GCM principal
40 component predictors. This is encouraging because the summary statistics of the
41 simulations were computed a posteriori from the cross-validated NHMM daily rainfall
42 sequences. While regression models built directly on seasonal statistics can be
43 expected to outperform the NHMM, the latter is motivated by the need for the daily
44 sequences for crop modeling etc.

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3 rainfall frequency. However, the mean onset date of the simulations is premature by
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5 about 1 week. This is probably largely due to biases in the GCM predictors since use of
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7 reanalysis-based predictors was largely able to remove this bias (not shown). Further
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9 work is required to address this issue, before the GCM-NHMM simulated daily rainfall
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11 sequences could be used to drive crop models, for example.
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Tables

	Obs mean	Mean bias	Mean bias (%)	STD bias (%)
Seas Total (mm/d)	3.68	0.04	1.04	1.45
Frequency	0.21	0	-0.13	-0.31
Mean Intensity (mm/d)	17.8	-0.15	-0.78	-14.06
Median Dry Spell (days)	2.89	0.26	10.35	19.01
Mean Wet Day Persistence Probability	0.39	-0.04	-9.06	-9.5
Onset Date (days after 9/1)	60.81	-8.3	-13.4	1.55

Table 1: Biases in the simulations, averaged over all 17 rainfall stations. The bias in the interannual standard deviation of the simulations (STD) is computed for each ensemble member separately, and then averaged. Onset dates are in days after September 1st.

	VSAI-obs	VSAI-sim	DOF-obs	DOF-sim
Amount	0.6	0.79	2.5	2
Frequency	0.61	0.93	2.5	1.4
Mean Intensity	0.25	0.12	6.3	15.1
Median Dry-Spell Length	0.19	0.84	8.2	3.4
Mean Wet Day Persistence Probability	0.32	0.34	5.9	6.1
Onset Date	0.62	0.67	2.4	3.2

Table 2: Spatial coherence statistics for the observations and simulations. The variance of the standardized anomaly index (VSAI) and estimated number of degrees of freedom (DOF) are given (see text for details).

Figure captions

Figure 1: Observed climatological mean station values of (a) rainfall probability, and (b) mean rainfall intensity (mm/d).

Figure 2: Cross-validated log-likelihood as a function of the number of NHMM states.

Figure 3: Rainfall probabilities (a-d) and mean intensities (e-h) associated with the 4-state model. Intensities are in mm/day.

Figure 4: Estimated state sequence of 4-state model. Grey scale denotes the state. The states are ordered from driest (white) to wettest (black) as in Fig. 3.

Figure 5: Box plots of standardized anomaly index (SAI) of (a) observations, and (b) raw forecasts across all 24 years. The rainfall statistics are seasonal rainfall total (T), daily rainfall frequency (F), mean daily intensity (I), median length of dry spells (D), mean wet-day persistence (W), and monsoon onset date (O). Boxes denote the median and interquartile range (IQR). Whiskers extend 1.5 IQR from box ends, with outliers denoted "+". In panel (b) there are 72 simulations for each year.

Figure 6: Quantile-quantile plots of the ensemble mean SAI of the forecasts against the observed values.

Figure 7: Reliability diagrams for the ensemble mean SAI of the forecasts. The 24 seasonal values of the ensemble mean forecast were binned into 10 classes of width 0.3σ , and the observed outcomes for each class averaged on the ordinate. The diagonal line gives the expected value for perfectly reliable forecasts.

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3 Figure 8: Correlation skills of hindcasts: (a) seasonal rainfall total; (b) rainfall frequency;
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5 (c) mean daily intensity; (d) median length of dry spells; (e) mean wet-day
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7 persistence; (f) monsoon onset date. Circle diameter is proportional to magnitude
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9 of the correlation. Negative correlations omitted.
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14 Figure 9: Box plots of standardized anomaly index (SAI) of forecasts, together with
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16 observations (solid line). Boxes denote the interquartile range (IQR), about the
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18 median (circle with dot). Whiskers extend 1.5 IQR from box ends, with outliers
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20 denoted "o". The median CPRS scores of the six SAI quantities are -2.48 , 7.74 , $-$
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22 20.24 , -29.58 , -29.20 and 6.40% .
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27 Figure 10: Continuous ranked probability skill (CRPS) scores for monsoon onset date.
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29 Circle diameter is proportional to magnitude of the CRPS score (in %).
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33 Figure 11: Conditional exceedance probabilities of SAI. Curves denote probability that
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35 the observed value in a particular year exceeds the predicted value for that year,
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37 for a given ensemble member. Thin continuous line denotes the exceedance
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39 probabilities of the observations.
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43 Figure 12: Probability of exceedance for 2007 forecast of SAI. Key: solid—observations,
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45 dotted—hindcasts, dashed—2007 forecast.
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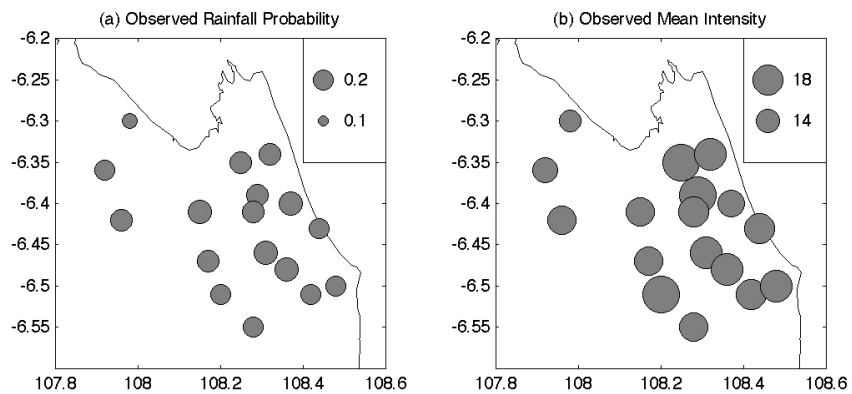


Figure 1
203x152mm (150 x 150 DPI)

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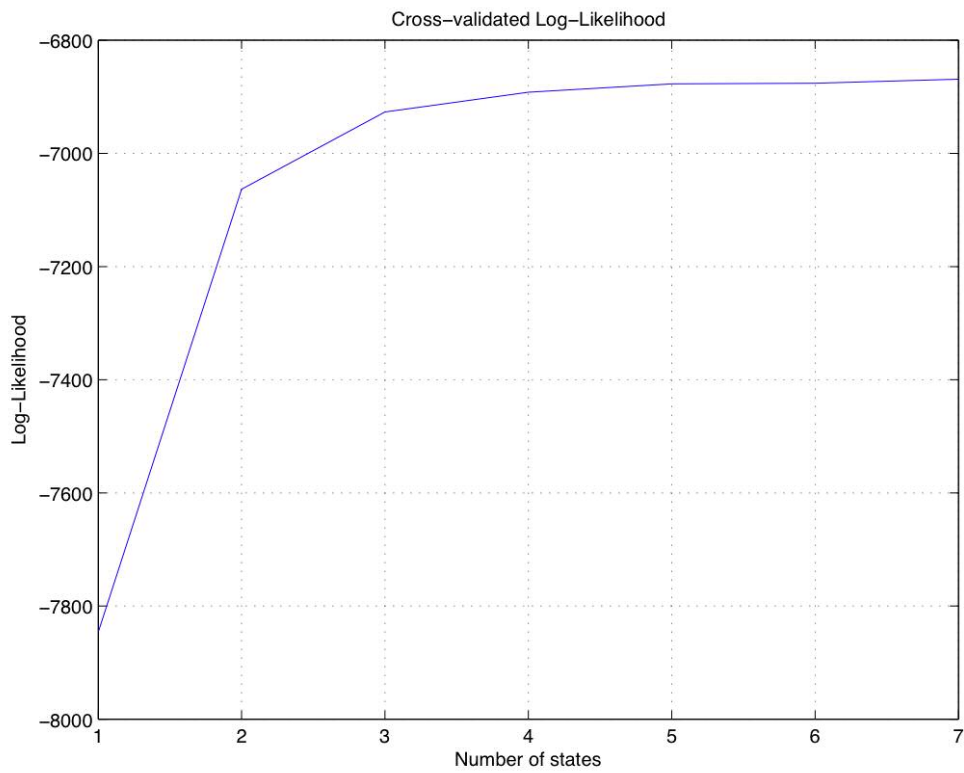


Figure 2
175x139mm (150 x 150 DPI)

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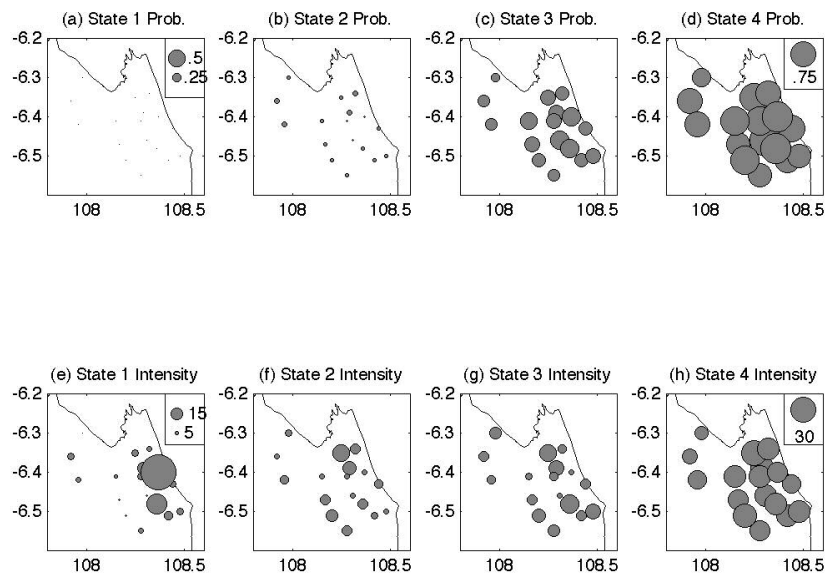


Figure 3
203x152mm (150 x 150 DPI)

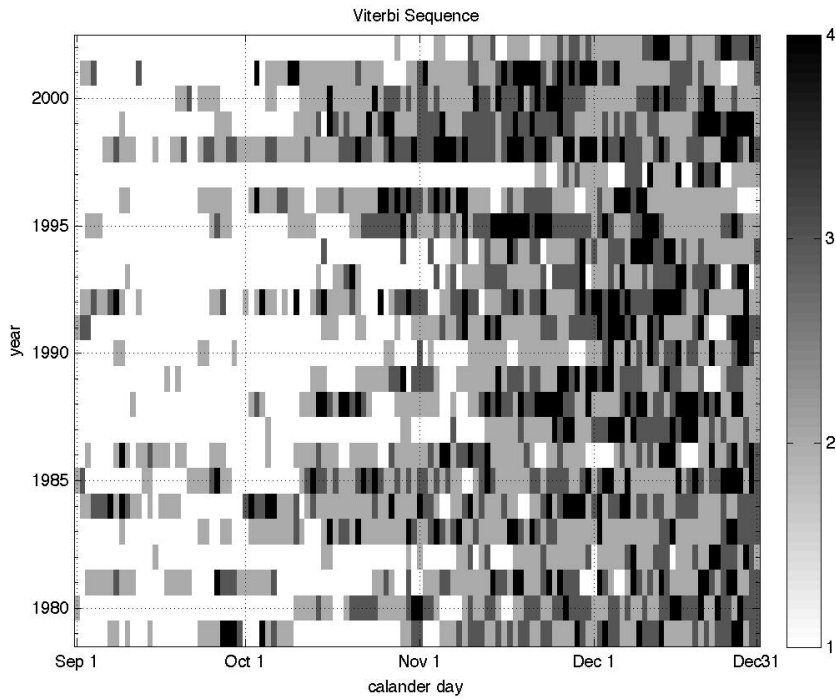


Figure 4
203x152mm (150 x 150 DPI)

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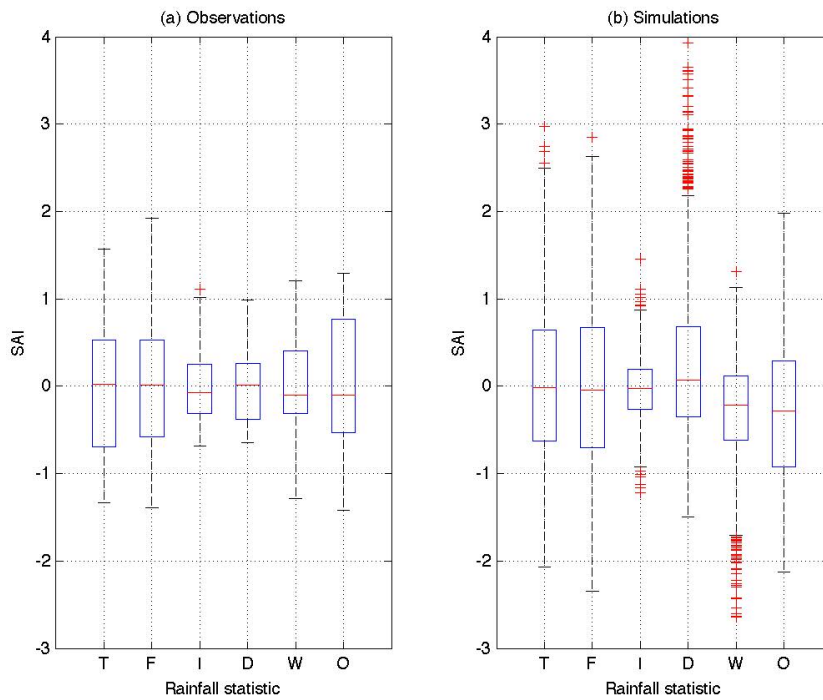


Figure 5
203x152mm (150 x 150 DPI)

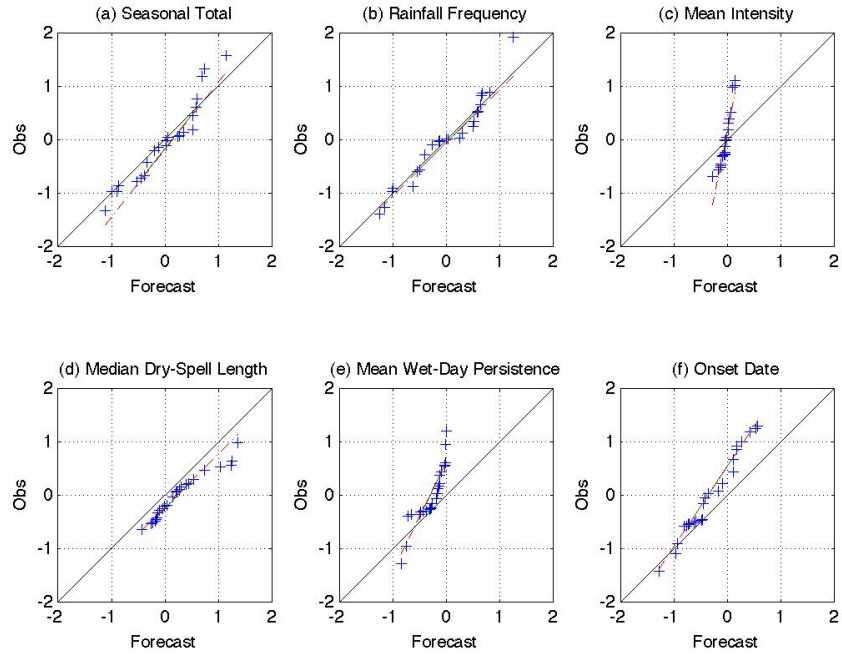


Figure 6
203x152mm (150 x 150 DPI)

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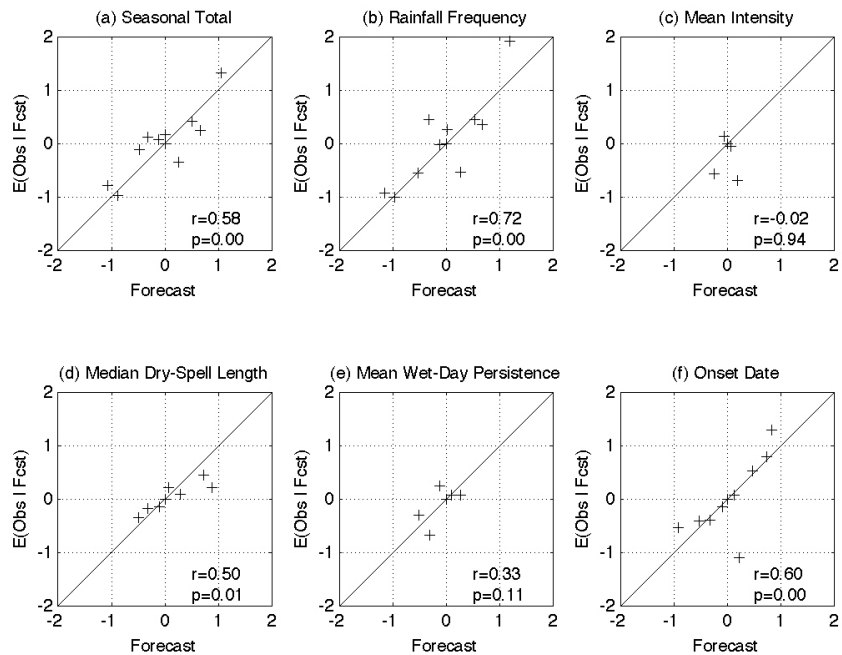


Figure 7
203x152mm (150 x 150 DPI)

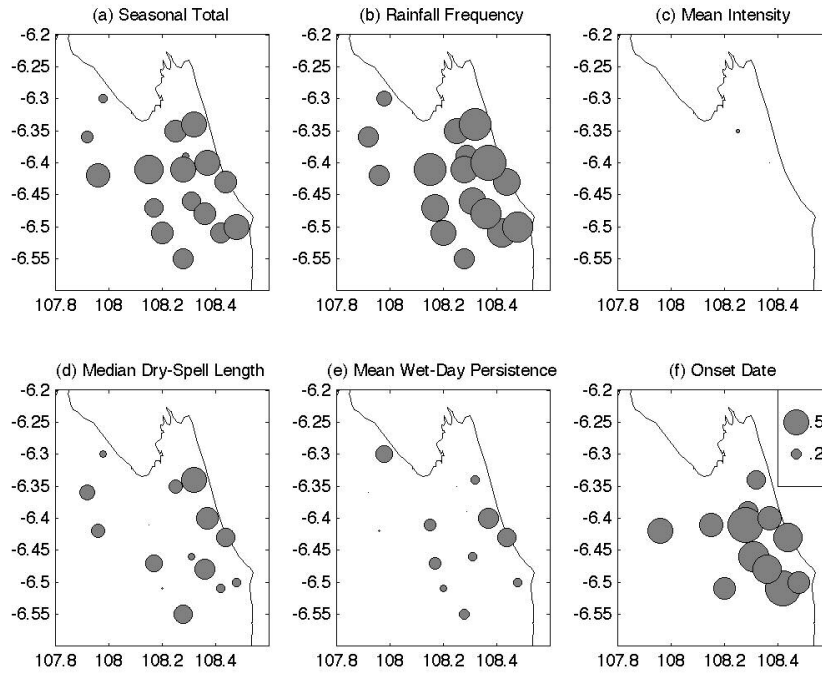


Figure 8
203x152mm (150 x 150 DPI)

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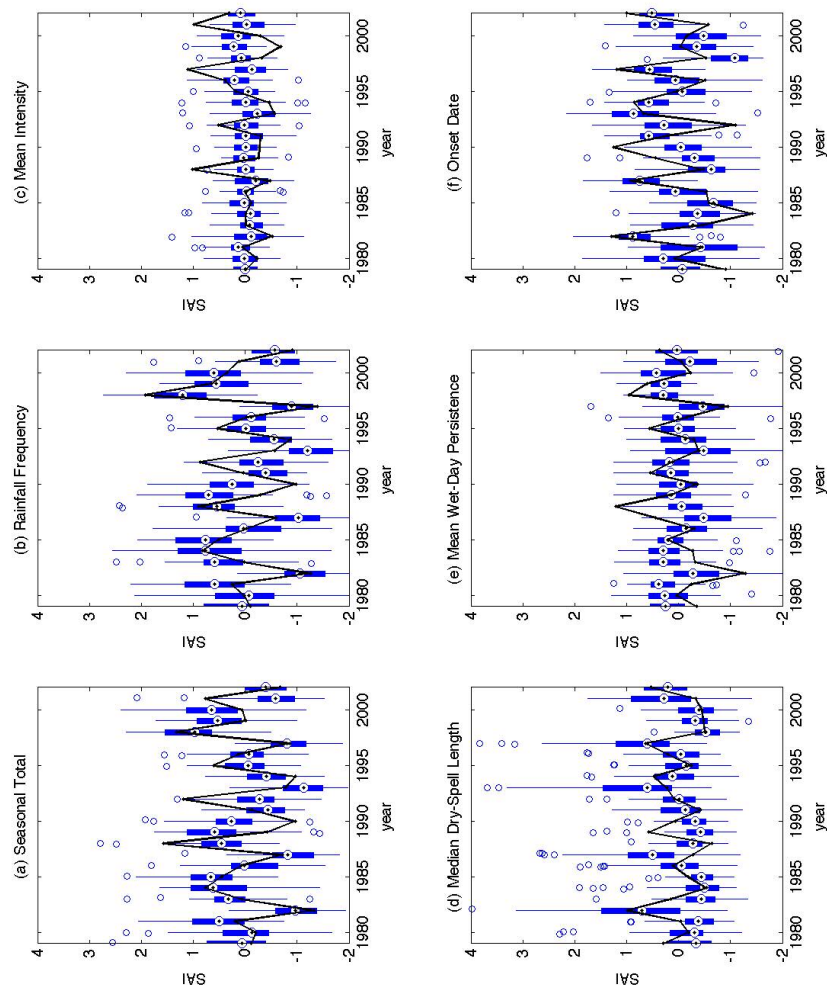


Figure 9
203x266mm (150 x 150 DPI)

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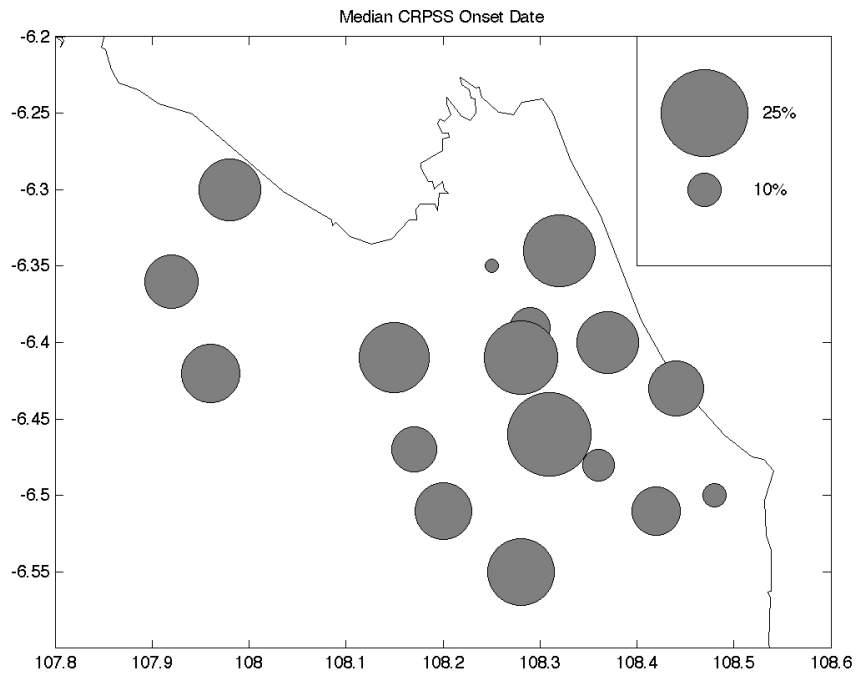


Figure 10
203x152mm (150 x 150 DPI)

View Only

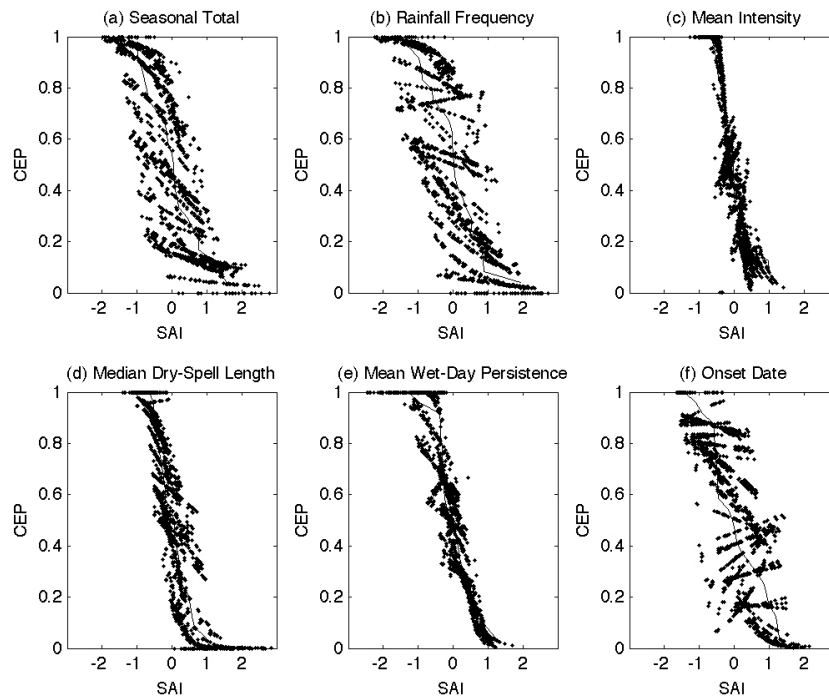


Figure 11
203x152mm (150 x 150 DPI)

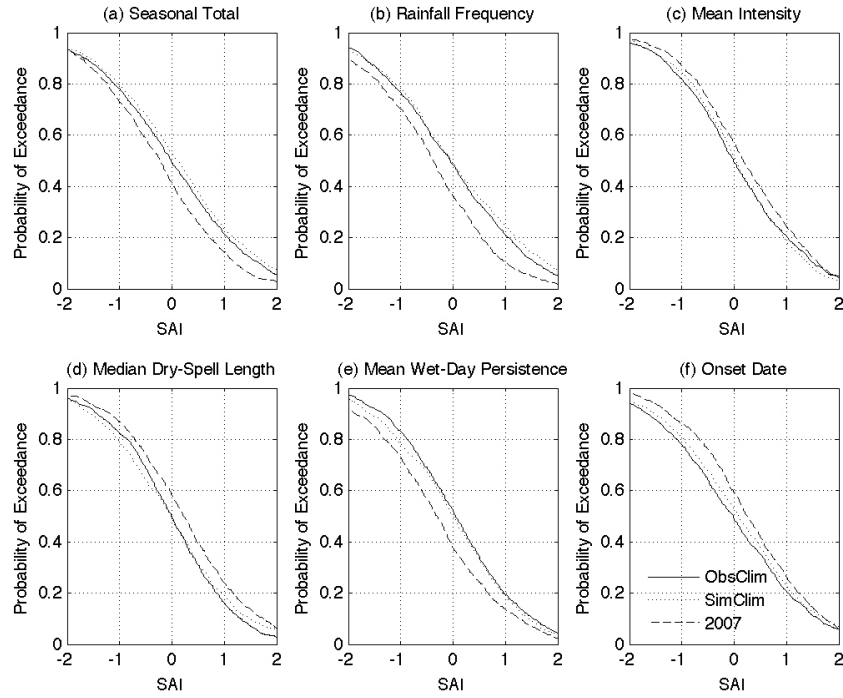


Figure 12
203x152mm (150 x 150 DPI)